

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Latha Sundar  
Serial No.: Not Yet Assigned  
Filed: September 30, 2003  
Entitled: ROOM TEMPERATURE STABLE COMPETENT  
CELLS

31109 U.S. PTO  
10/675113

093003

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EV242753421US** on this date, **September 30, 2003**, postage prepaid, in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

**Mail Stop Patent Application****Commissioner for Patents****P.O. Box 1450****Alexandria, VA 22313-1450****TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

1. New Patent Application Transmittal;
2. Patent Application Specification, (49 pages);
3. Six (6) sheets of informal drawings;
4. Application Data Sheet;
5. Check in the amount of \$654.00 for the requisite filing fee; and
6. Return Post Card.

Pursuant to 37 C.F.R. § 1.27, Applicant claims small entity status.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 25436/1715. A duplicate of this transmittal letter is enclosed for this purpose.

Date: September 30, 2003

Respectfully submitted,

Name: Kathleen M. Williams

Registration No.: 34,380

Customer No.: 27495

Palmer &amp; Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100

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Kathleen M. Williams

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Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**Enclosed herewith is a new patent application of:**

First Named Inventor (or application identifier): Latha Sundar

Title of Invention: Room Temperature Stable Competent Cells

Type:

☐

New

☐

Continuation of:

☒

Continuation-in-Part of: 09/894,806

☐

Divisional of:

which is hereby incorporated by reference in its entirety into the application filed herewith;

**and includes the following papers:**

1. ☒ Specification 49 pages (including specification, claims, abstract)/51 claims (1 independent)
2. ☒ Declaration/Power of Attorney is:
 

☐ Executed      ☐ Unexecuted      ☐ Executed copy from Parent Application  
☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 6 Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☒ Application Data Sheet
5. ☐ Preliminary Amendment.
6. ☐ Information Disclosure Statement
 

☐ Form 1449      ☐ A copy of each cited prior art reference (as necessary)
7. ☐ Assignment with Recordation Cover Sheet.
8. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
United States	60/415,389	2 October 2002
United States	60/255,726	15 December 2000

9. ☒ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

Application Number	Date of Filing (day, month, year)
09/894,806	28 June 2001

10. ☐ Priority is hereby claimed under 35 U.S.C. § 121 based upon the following application(s):

Application Number	Date of Filing (day, month, year)
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# NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 25436/1715

The above applications from which priority is claimed are hereby incorporated in their entirety into the application filed herewith.

11. ☐ Priority document(s).
12. ☐ Microfiche Computer Program (Appendix).
13. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).  
☐ Statement verifying identity of above copies.
14. ☐ Request for Non-Publication and Certification under 35 USC 122 (b)(2)(B)(i)
15. ☒ Statement of Small Entity Status, Pursuant to 37 C.F.R. § 1.27.
16. Calculation of Fees:

FEES FOR	TOTAL CLAIMS	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))				\$750.00
Total Claims in <b>Excess of 20</b> (37 C.F.R. § 1.16(c))	51	31	\$18.00	558.00
Independent Claims in <b>Excess of 3</b> (37 C.F.R. § 1.16(b))	1	0	\$84.00	0.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	NO		\$280.00	0.00
Subtotal - Filing Fee Due				1,308.00
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	MULTIPLY BY 0.50			654.00
<b>TOTAL FILING FEE DUE</b>				654.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0		\$40.00	0.00
<b>GRAND TOTAL DUE</b>				654.00

17. PAYMENT is:  
☒ included in the amount of \$654.00 by our enclosed check. The Commissioner is hereby authorized to charge any deficiencies in the fees to Deposit Account 16-0085, Ref. 25436/1715.  
☐ not included, but deferred under 37 C.F.R. § 1.53(f).

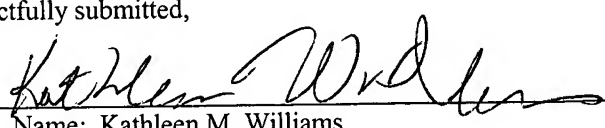
18. All correspondence for the attached application should be directed to:

**Kathleen M. Williams**  
**Customer Number 27495**  
111 Huntington Avenue  
Boston, MA 02199-7613

19. Other: \_\_\_\_\_

Respectfully submitted,

Date: September 30, 2003

By:   
Name: Kathleen M. Williams  
Registration No.: 34,380